COMMISSION IMPLEMENTING REGULATION (EU) …/...

of XXX

establishing the format for registration and reporting and the frequency of reporting to the register

(Text with EEA relevance)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Directive 2012/19/EU of the European Parliament and of the Council of 4 July 2012 on waste electrical and electronic equipment (WEEE) ([[1]](#footnote-1)), and in particular Article 16(3) thereof,

Whereas:

1. In order to ensure uniform conditions for the implementation of Article 16 of Directive 2012/19/EU, it is necessary to establish a format for registration and reporting to be used by producers of EEE or by authorised representatives where appointed under Article 17 of Directive 2012/19/EU when providing the information set out in Annex X to Directive 2012/19/EU. A harmonised data structure and format for registration and reporting of EEE producers for all Member States will reduce the administrative burden for producers operating at EU level or at the level of several Member States.
2. With the view to harmonise the practices applied by the Member States for registration and reporting it is important that the format for registration and reporting is used by all producers, including producers supplying EEE by means of distance communication and by all registers drawn up in the Member States to monitor compliance with the requirements of the Directive pursuant to Article 16(1) of Directive 2012/19/EU.
3. The format for registration and reporting should set out the information elements to be requested by the register for the registration and reporting of producers or authorised representatives where appointed under Article 17 of Directive 2012/19/EU. It should also allow for some additional information elements to be provided if requested by the Member State where the producer is registered and reports to, in order to avoid additional administrative burden, this should only related to entries previously identified as such.
4. In order to harmonise the reporting of producers or authorised representatives where appointed under Article 17 of Directive 2012/19/EU to the register it is also necessary to establish the frequency of reporting. To avoid unnecessary administrative burden and to reflect the use to be made of the data the frequency of reporting on data related to the EEE placed on the market may be different from the frequency of reporting on data related to the WEEE separately collected, treated, recycled and recovered within a Member State or treated within or outside the Union.
5. The measures provided for in this Regulation are in accordance with the opinion of the Committee established by Article 39 of Directive 2008/98/EC,

HAS ADOPTED THIS REGULATION:

Article 1

**Subject matter**

This Regulation establishes the format for registration and for reporting of producers of electrical and electronic equipment (EEE) or their authorised representatives, where appointed under Article 17 of the Directive 2012/19/EU.

It also establishes the frequency of reporting to the register drawn up in each Member State pursuant to Article 16(1) of Directive 2012/19/EU.

Article 2

**Format for registration**

1. Member States shall ensure that producers, or authorised representatives where appointed under Article 17 of Directive 2012/19/EU, or third parties acting on their behalf use the format set out in Annex I for registration of the producer or the authorised representative in a Member State.

2. The information elements in the format set out in Annex I are mandatory. Member States may require additional information elements as specified in the format set out in this Annex.

Article 3

**Format for reporting to the register on data related to EEE placed on the market**

1. Member States shall ensure that producers, or authorised representatives where appointed under Article 17 of Directive 2012/19/EU, or third parties acting on their behalf use the format set out in Annex II for reporting to the register on the data related to EEE placed on the market.

2. The information elements in the format set out in Annex II are mandatory. Member States may require additional information elements as specified in the format set out in this Annex.

Article 4

**Format for reporting on data related to WEEE collected, treated, recycled and recovered**

1. Member States shall ensure that producers, or authorised representatives where appointed under Article 17 of Directive 2012/19/EU, or third parties acting on their behalf use the format set out in Annex III for reporting to the register on data related to WEEE collected, treated, recycled and recovered within the Member State or treated within or outside the Union.

2. The information elements in the format set out in Annex III are mandatory.

Article 5

**Frequency of reporting to the register**

1. Member States shall ensure that producers, or authorised representatives where appointed under Article 17 of Directive 2012/19/EU, or third parties acting on their behalf report to the register on the data related to EEE placed on the market in accordance with the format provided for in Annex II every three months.

The report shall be made available to the register within [15][20] days of the end of the three-month period covered by it.

2. Member States shall ensure that producers, or authorised representatives where appointed under Article 17 of Directive 2012/19/EU, or third parties acting on their behalf report to the register on the data related to WEEE in accordance with the format provided for in Annex III on an annual basis.

The report shall be made available within [6] months of the end of the reporting year for which the data is collected.

Article 6

**Entry into force**

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 1 January 2019.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Annex I

***Format for registration in a Member State***

All information elements in the format are mandatory (M)

Filter dependent mandatory information elements (F) become mandatory if a specific response is selected from a previous variable.

The format provides for specific information elements where a Member State may decide to request the submission of this information. These information elements are clearly indicated (M\*).

**A. Format for registration of a producer**

|  |  |  |
| --- | --- | --- |
| **Information element** | **Description** | **Reporting** |
| Name of producer   * + - If the producer is a legal person (company) provide the name of the company | Official name of the producer as linked to the VAT number | M |
| * + - If the producer is a natural person provide:       * First name       * Last name | M |
| Trading name of producer | The name the producer uses for advertising and sales purposes that is different from the legal name in its articles of incorporation or other organizing documents | M\* |
| Legal address of producer | Official address of the producer |  |
| * + - Street name | M |
| * + - Street number | M |
| * + - Postal code | M |
| * + - Location/City | M |
| * + - Province | M\* |
| * + - Municipality | M\* |
| * + - Country | M |
| * + - Website address (if available) | M |
| Contact person of producer | A person associated with the producer as a point of communication. It is a particular individual who is selected as the point of initial or regular communication with that producer. |  |
| * + - First name |  | M |
| * + - Last name |  | M |
| * + - Phone number |  | M |
| * + - [Mobile phone number] |  | [M\*] |
| * + - Email address |  | M |
| Type of producer | Identification of the type of the producer on the basis of Article 3(1)(f) of the Directive 2012/19/EU on WEEE |  |
| established in the Member State and manufactures EEE under his own name or trademark, or has EEE designed or manufactured and markets it under his name or trademark within the territory of the Member State  yes/no |  | M |
| established in the Member State and resells within the territory of the Member State, under his own name or trademark, equipment produced by other suppliers  yes/no |  | M |
| established in the Member State and places on the market of the Member State, on a professional basis, EEE from a third country  yes/no |  | M |
| established in the Member State and places on the market of the Member State, on a professional basis, EEE from another Member State  yes/no |  | M |
| sells EEE by means of distance communication directly to private households or to users other than private households in the Member State, and is established in another Member State |  | M |
| * + - Trading name of the website | If the producer sells EEE by means of distance communication, the name the producer uses for advertising and sales purposes that is different from the legal name in its articles of incorporation or other organizing documents. | F |
| * + - Legal entity name | If the producer sells EEE by means of distance communication, the name of the legal entity the producer uses for advertising and sales purposes. | F |
| sells EEE by means of distance communication directly to private households or to users other than private households in the Member State, and is established in a third country  yes/no |  | M |
| * + - Trading name of the website | If the producer sells EEE by means of distance communication, the name the producer uses for advertising and sales purposes that is different from the legal name in its articles of incorporation or other organizing documents. | F |
| * + - Legal entity name | If the producer sells EEE by means of distance communication, the name of the legal entity the producer uses for advertising and sales purposes. | F |
| National identification code/ Business registration code | For producers that are legal persons, the identification code/ number provided upon registering in business' register in the Member State | F |
| National VAT Number | VAT number of the producer in the Member State | M |
| European tax (VAT) Number | For producers established in another Member State, the VAT number of the producer in the Member State where the producer is established | F |
| Category(ies) of EEE | Category or categories of EEE as per Annex III to the Directive 2012/19/EU the producer places on the market of the Member State | M |
| Sub-category(ies) of EEE | Sub-category or sub-categories of EEE as applied in the Member State the producers places on the market of the Member State | M\* |
| Type of EEE (household EEE or other than household EEE | For each one of the categories of EEE the producer places on the market on the Member State indication of household and other than household EEE | M |
| Brand name of EEE | For each one of the categories of EEE the producer places on the market on the Member State the arbitrarily adopted name that is given by a producer to the EEE to distinguish it as produced or sold by that producer and that may be used and protected as a trademark | M |
| Producer responsibility | Identification of the way how the producer meets the responsibilities set out in Directive 2012/19/EU in the Member State | M |
| The producer has set up an individual compliance system  yes/no |  | M |
| The producer has joined a collective compliance system  yes/no |  | M |
| Name of the collective compliance system | If the producer has joined a collective compliance system (producer responsibility organisation) in the Member State the official name of this system | F |
| Date of agreement between the producer and collective compliance system | If the producer has joined a collective compliance system (producer responsibility organisation) in the Member State the date of the agreement between the producer and this system | F |
| Financing responsibility | Identification of the way how the producer meets the obligation of financing the collection, treatment, recovery and environmentally pursuant to Articles 12 and 13 of the Directive 2012/19/EU |  |
| The producer participates in a collective compliance system  yes/no |  | M |
| The producer provides a recycling insurance  yes/no |  | M |
| The producer provides a blocked bank account  yes/no |  | M |
| [Other, please specify:] |  | [M] |
| Distance selling:  The producer uses distance selling technique to sell EEE directly to private households or to users other than private households to another Member State  yes/no | The producer established in the Member State shall indicate if also sells EEE by means of distance communication directly to private households or to users other than private households to another Member State. | M |
| List of Member State(s) in which the producer sells EEE by distance selling technique | If the producer established in the Member State sells EEE by means of distance communication directly to private households or to users other than private households to another Member State, the name of the Member State(s) | F |
| Name of the authorised representative in the Member State(s) in which the producer sells EEE by distance selling | If the producer established in the Member State sells EEE by means of distance communication directly to private households or to users other than private households to another Member State, the name of the authorised representative in that Member State(s) | F |
| Declaration  “*I/We declare that the information provided in this document is true and provides an accurate reflection of the type of electrical and electronic equipment placed on the market by the above named producer*.” | Declaration signed by the producer that the information provided is true and accurate | M |

**B. Format for registration of an authorised representative**

|  |  |  |
| --- | --- | --- |
| **Information element** | **Description** | **Reporting** |
| Name of authorised representative   * + - If the authorised representative is a legal person (company) provide the name of the company | Official name of the authorised representative as linked to the VAT number | M |
| * + - If the authorised representative is a natural person provide:       * First name       * Last name | M |
| Contact person of the authorised representative | A person associated with the authorised representative as a point of communication. It is a particular individual who is selected as the point of initial or regular communication with that authorised representative. |  |
| * + - First name |  | M |
| * + - Last name |  | M |
| * + - Phone number | Business phone | M |
| * + - [Mobile phone number] |  | [M\*] |
| * + - Email address | Business e-mail address | M |
| Legal address of the authorised representative | Official address of the authorised representative |  |
| * + - Street name | M |
| * + - Street number | M |
| * + - Postal code | M |
| * + - Location/City | M |
| * + - Province | M\* |
| * + - Municipality | M\* |
| * + - Country | M |
| * + - Website address (if available) | M |
| National identification code/ Business registration code | For authorised representatives that are legal persons, the identification code/ number provided upon registering in business' register in the Member State | F |
| VAT Number | VAT number of the authorised representative in the Member State | M |
| Name of the producer that is represented:   * + - If the producer is a legal person (company) provide the name of the company     - If the producer is a natural person provide:       * First name       * Last name | Official name of the producer as linked to the VAT number | M |
| Identification of the producer that is represented   * + - National identification code/ Business registration code | The identification code/ number provided upon registering in business' register in the Member State or the third country where the producer is established | F |
| * + - VAT number | VAT number of the producer in the Member State or the third country where the producer is established | M |
| Contact details of the producer that is represented |  | M |
| * + - Phone number | Business phone | M |
| * + - Email address |  | M |
| * + - Legal address of producer that is represented |  | M |
| * + - * Street name |  | M |
| * + - * Street number |  | M |
| * + - * Postal code |  | M |
| * + - * Location/City |  | M |
| * + - * Country |  | M |
| * + - Website address (if available) |  | F |
| Category(ies) of EEE | Category or categories of EEE as per Annex III to the Directive 2012/19/EU the producer places on the market of the Member State | M |
| Sub-category(ies) of EEE | Sub-category or sub-categories of EEE as applied in the Member State the producers places on the market of the Member State | M\* |
| Type of EEE (household EEE or other than household EEE) | For each one of the categories of EEE the producer places on the market on the Member State indication of household and other than household EEE | M |
| Brand name of EEE | For each one of the categories of EEE the producer places on the market on the Member State the arbitrarily adopted name that is given by a producer to the EEE to distinguish it as produced or sold by that producer and that may be used and protected as a trademark | M |
| Producer responsibility | Identification of the way how the producer meets the responsibilities set out in Directive 2012/19/EU in the Member State | M |
| The producer or the authorised representative on behalf of the producer has set up an individual compliance system in the Member State  yes/no |  | M |
| The producer or the authorised representative on behalf of the producer has joined a collective compliance system in the Member State  yes/no |  | M |
| Name of the collective compliance system | If the producer [or the authorised representative] has joined a collective compliance system (producer responsibility organisation) in the Member State the official name of this system | F |
| Date of agreement between the producer and collective compliance system | If the producer [or the authorised representative] has joined a collective compliance system (producer responsibility organisation) in the Member State the date of the agreement between the producer and this system | F |
| Financing responsibility | Identification of the way how the producer meets the obligation of financing the collection, treatment, recovery and environmentally pursuant to Articles 12 and 13 of the Directive 2012/19/EU |  |
| The producer or the authorised representative on behalf of the producer participates in a collective compliance system  yes/no |  | M |
| The producer or the authorised representative on behalf of the producer provides a recycling insurance  yes/no |  | M |
| The producer or the authorised representative on behalf of the producer provides a blocked bank account  yes/no |  | M |
| [Other, please specify:] |  | [M] |
| Date of authorisation given to the authorised representative by the producer | Date of the written mandate by which the producer appoints the authorised representative according to Article 17(3) of Directive 2012/19/EU | M |
| Declaration  “*I/We declare that the information provided in this document is true and provides an accurate reflection of the type of electrical and electronic equipment placed on the market by the above named producer*.” | Declaration signed by the authorised representative that the information provided is true and accurate | M |

Annex II

**Format for reporting to the register of a Member State on EEE placed on the market**

All information elements in the format are mandatory (M)

Filter dependent mandatory information elements (F) become mandatory if a specific response is selected from a previous variable.

Where necessary the format provides for specific information elements to be provided only when a Member State requests the submission of this information. These information elements are clearly indicated (M\*).

|  |  |  |
| --- | --- | --- |
| **Information element** | **Description** | **Reporting** |
| EEE registration code or number of the producer or the authorised representative | Code or number provided to producer of EEE or authorised representative where appointed under Article 17 of the Directive 2012/19/EU when registered | M |
| Reporting period:  1st quarter: 1/1/\_\_\_\_ to 31/3/\_\_\_\_ or  2nd quarter: 1/4/\_\_\_\_ to 30/6/\_\_\_\_ or  3rd quarter: 1/7/\_\_\_\_ to 30/9/\_\_\_\_ or  4th quarter: 1/10/\_\_\_\_ to 31/12/\_\_\_\_ |  | M |
| Quantity of EEE placed on the market of the Member State (in kg) | The weight of EEE in kilograms as defined in Commission Implementing Regulation (EU) 2017/699 means the gross (shipping) weight of any EEE within the scope of Directive 2012/19/EU, including all electrical and electronic accessories, but excluding packaging, batteries/accumulators, instructions, manuals, non-electric/electronic accessories and consumables; |  |
| per category of EEE | The weight of EEE the producer places on the market of the Member State per category of EEE as per Annex III to the Directive 2012/19/EU | M |
| per sub-category of EEE | The weight of EEE the producer places on the market of the Member State per sub-category of EEE as applied in the Member State | M\* |
| per type of EEE (household and other than household) | The weight of EEE the producer places on the market of the Member State per type of equipment (household and other than household) | M |
| Declaration  “*I/We declare that the information provided in this document is true and provides an accurate reflection of the type of electrical and electronic equipment placed on the market by the above named producer*.” | Declaration signed by the producer or the authorised representative that the information provided is true and accurate | M |

Annex III

**Format for reporting to a Member State on WEEE separately collected, recycled and recovered within the Member State or shipped within or outside the Union**

All information elements in the format are mandatory (M)

Filter dependent mandatory information elements (F) become mandatory if a specific response is selected from a previous variable.

Where necessary the format provides for specific information elements to be provided only when a Member State requests the submission of this information. These information elements are clearly indicated (M\*).

|  |  |  |
| --- | --- | --- |
| **Information element** | **Description** | **Reporting** |
| EEE registration code(s) or number(s) of the producer or the authorised representative | Code or number provided to producer of EEE or authorised representative where appointed under Article 17 of the Directive 2012/19/EU when registered.  This information may be provided by third parties acting on behalf of more than one producer. In this case the information shall be in total for all represented producers but the different codes for all producers or authorised representatives shall be reported. | M |
| Reporting year:  Year\_\_\_\_\_\_: 1/1/\_\_\_\_ to 31//12/\_\_\_\_ | Calendar year of reference | M |
| Quantity of WEEE from private households separately collected per category of EEE | The weight in tonnes of WEEE from private households separately collected per category of EEE as per Annex III to the Directive 2012/19/EU | M |
| Quantity of WEEE from users other than households separately collected per category of EEE | The weight in tonnes of WEEE from users other than households separately collected per category of EEE as per Annex III to the Directive 2012/19/EU | M |
| Quantity of WEEE treated in the Member State per category of EEE | The total weight in tonnes of WEEE treated in the Member State per category of EEE as per Annex III to the Directive 2012/19/EU | M |
| Quantity of WEEE treated in another Member State per category of EEE | The total weight in tonnes of WEEE treated in another Member State per category of EEE as per Annex III to the Directive 2012/19/EU | M |
| Quantity of WEEE treated outside the EU per category of EEE | The total weight in tonnes of WEEE treated outside the EU per category of EEE as per Annex III to the Directive 2012/19/EU | M |
| Quantity of WEEE recycled (including WEEE prepared for re-use) in the Member State per category of EEE | The total weight in tonnes of WEEE recycled (including WEEE prepared for re-use) in the Member State per category of EEE as per Annex III to the Directive 2012/19/EU | M |
| Quantity of WEEE recovered in the Member State per category of EEE | The total weight in tonnes of WEEE recovered in the Member State per category of EEE as per Annex III to the Directive 2012/19/EU | M |
| Declaration  “*I/We declare that the information provided in this document is true and provides an accurate reflection of the type of electrical and electronic equipment placed on the market by the above named producer*.” | Declaration signed by the producer or the authorised representative that the information provided is true and accurate | M |

1. OJ L 197, 24.7.2012, p. 38. [↑](#footnote-ref-1)